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DOCKET NO. 03AB014B/ALBRP303USB

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Applicant: **David D. Brandt et al.**

Serial No.: **10/661,690**

Filed: **September 12, 2003**

For: **SYSTEM AND METHODOLOGY PROVIDING AUTOMATION SECURITY PROTOCOLS AND  
INTRUSION DETECTION IN AN INDUSTRIAL CONTROLLER ENVIRONMENT**

Art Unit: **2153**

Examiner: **Unknown**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120(c), or (c) U.S. Patent(s) and published application(s):

Serial No.: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) \_\_\_ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) \_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) \_\_\_ Within 3 months of the filing date or date of entry into the National Stage.

(b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c) \_\_\_ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) \_\_\_ The required certification is given below, or

(2) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

(d) \_\_\_ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) \_\_\_ Enclosed is a Credit Card Payment Form (PTO-2038) covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

4. Certification (if applicable)

(a) \_\_\_ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) \_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted,  
AMIN & TUROCY, LLP

By



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Reg. No. 40,894


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CERTIFICATE OF MAILING

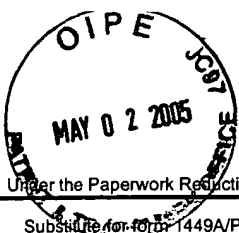
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Date



Himanshu S. Amin



PTO/SB/08a (08-03)

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**SUPPLEMENTAL  
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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

2

**Complete if Known**

Application Number	10/661,690
Filing Date	September 12, 2003
First Named Inventor	David D. Brandt
Art Unit	2153
Examiner Name	Unknown
Attorney Docket Number	03AB014B/ALBRP303USB

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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**FOREIGN PATENT DOCUMENTS**

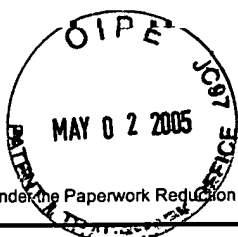
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 01/26335 A2	04-12-2001	Sensoria Corp.		
		GB 2 368 701 A	05-08-2002	Fisher Rosemount Systems		

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Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		F. OLAECHEA. Partial European Search Report. February 18, 2005. 5 pages. The Hague.	
		N. JONES, et al. "Introducing EtherNET/IP". 6th ODVA annual meeting March 8, 2000. Retrieved from the Internet: URL: <a href="http://www.odva.org/10_2/03_events/NewEtherNet/EtherNet-IPOverview.ppt">http://www.odva.org/10_2/03_events/NewEtherNet/EtherNet-IPOverview.ppt</a> retrieved on November 11, 2004. 25 pages.	
		T. CUTLER. "Wireless Ethernet and How To Use It". The Online Industrial Ethernet Book. October 10, 2002. Retrieved from the internet: URL: <a href="http://web.archive.org/web/20021020173452/http://ethernet.industrial-networking.com/articles/i05wirelessethernet.asp">http://web.archive.org/web/20021020173452/http://ethernet.industrial-networking.com/articles/i05wirelessethernet.asp</a> retrieved on November 18, 2004. 3 pages.	
		A.C. WEAVER. Institute of Electrical and Electronics Engineers: "Factory Monitoring and Control Using The Internet". Proceedings of the 27th Annual Conference of the IEEE Industrial Electronics Society. Denver, CO, November 29-December 2, 2001, Annual Conference of the IEEE Industrial Electronics Society, New York, NY: IEEE, US, Vol. 1 of 3 CONF. November 27 and 29, 2001. pages 1639-1645. 32 pages.	
		J. RINALDI. "Introduction to Ethernet/IP". The Online Industrial Ethernet Book. September 30, 2002. Retrieved from the internet: URL: <a href="http://ethernet.industrial-networking.com/articles/illemethernet.asp">http://ethernet.industrial-networking.com/articles/illemethernet.asp</a> retrieved on November 18, 2004. 2 pages.	

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